

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



December 30, 2019

Jeffrey T. Linam  
Vice President of Rates & Regulatory  
California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1265-A (Supplement to Advice Letter No. 1265), filed on October 4, 2019, regarding the 2018 Monterey WRAM and MCBA.

Enclosed are copies of the following revised tariff sheets for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
9341-W	Schedule No. MO-SF (continued), General Metered Service Monterey County District Tariff Area, Single Family Residential
9342-W	Schedule No. MO-MF (continued), General Metered Service Monterey County District Tariff Area, Multi-Family Residential
9343-W	Schedule No. MO-1C (continued), General Metered Service Monterey County District Tariff Area, Non-Residential
9344-W	Schedule No. MO-1O (continued), General Metered Service Monterey County District Tariff Area, Other Customers
9345-W	Table of Contents, Page 2
9346-W	Table of Contents, Page 1

Please contact Bradley Leong at 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant  
Water & Sewer Advisory Branch  
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

**Utility Name:** California American Water  
**District:** Monterey County District  
**CPUC Utility #:** U210W  
**Advice Letter #:** 1265-A  
**Tier:**  1    2    3    Compliance  
**Authorization:** D.08-11-023, D.12-04-048,  
D.13-07-041, D.19-12-021  
**Description:** Supplemental filing to revise 2018 Los Angeles WRAM & MCBA

**Date Mailed to Service List:** October 4, 2019  
**Protest Deadline (20<sup>th</sup> Day):** October 3, 2019  
**Review Deadline (30<sup>th</sup> Day):** October 23, 2019  
**Requested Effective Date:** September 24, 2019  
**Rate Impact:** \$See AL  
See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Kamilah Jones  
**Phone:** 916-568-4232  
**Email:** Kamilah.Jones@amwater.com

**Utility Contact:** Jonathan Morse  
**Phone:** 916-568-4246  
**Email:** Jonathan.Morse@amwater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



4701 Beloit Drive  
Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
F (916) 568-4260

October 4, 2019

ADVICE LETTER NO. 1265-A

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey District which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
9341-W	Schedule No. MO-SF (continued) General Metered Service Monterey County District Tariff Area SINGLE FAMILY RESIDENTIAL	9240-W
9342-W	Schedule No. MO-MF (continued) General Metered Service Monterey County District Tariff Area MULTI-FAMILY RESIDENTIAL	9241-W
9343-W	Schedule No. MO-1C (continued) General Metered Service Monterey County District Tariff Area NON-RESIDENTIAL	9242-W
9344-W	Schedule No. MO-1O (continued) General Metered Service Monterey County District Tariff Area OTHER CUSTOMERS	9243-W
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### **PURPOSE**

The purpose of this supplemental filing is to revise the WRAM & MCBA balance for Monterey County District. The original WRAM & MCBA filing did not incorporate Sand City production costs into the MCBA calculation and this supplement corrects that.

The purpose of this advice letter filing is to request recovery of the 2018 WRAM & MCBA balances. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in D.08-11-023, D.12-04-048, D.13-07-041 and D.18-12-021.

### **BACKGROUND**

D.09-07-021 originally approved the WRAM & MCBA for Monterey. Certain requirements

from the original process were modified in D.13-07-041. Notably, the settlement adopted by the decision agreed to certain procedures outlined D.12-040-048, which California American Water was not a party to.

*The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts.*

*This includes:*

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. Cal Am will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Further, D.16-12-003 addressed the recovery of balances through December 31, 2014. The decision authorized a meter based surcharge over five years. This was implemented through the filing of advice letter 1146-A. The decision also modified the recovery method for future surcharges and imposed a new noticing requirement. Ordering paragraph two states,

- 1. Future Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) advice letters filed and served by California- American Water Company (California American Water) for the Monterey District shall request recovery of under-collections (or refunds of over-collections) by a uniform surcharge (or surcredit) on each unit of water sold (volumetric rate) including Tier 1. This applies to all WRAM/MCBA balances recovered once the new rate design is implemented. California American Water shall provide customer notice of each such advice letter consistent with General Order (GO) 96-B. In addition, for each of the next three advice letter requests, California American Water shall notify all customers in the Monterey District by bill insert or direct mail of the request even if that notice is not otherwise required by GO 96-B. The Notice shall be approved by the Commission's Public Advisor before it is issued by California American Water.*

In addition, D.18-12-021 increases the cap on amortization of the WRAM/MCBA balances:

*Ordering Paragraph*

*151. The cap on amortization of WRAM/MCBA balances should not be eliminated but should be increased to 15% of the last authorized revenue requirement for each of California American Water's districts.*

On November 7, 2018, prior to issuance of D.18-12-020, California American Water obtained an extension of time to able to file its 2018 WRAM/MCBA annual report and its Tier 1 annual request for amortization of net 2018 WRAM/MCBA balances. Without knowing the interim rate true-up, California American Water was not able to make the necessary calculations to submit these 2018 WRAM/MCBA filings. On August 8, 2019, California American Water received a second extension, to September 23, 2019.

Per D.16-12-003 California-American Water Company was ordered to provide notice to each of its Monterey customers requesting WRAM/MCBA recovery. The notice must first be approved by the Commission's Public Advisor. Attached to this filing is California American Water's draft notice, which after receiving approval from the Public Advisor, will be sent to customers as a bill insert.

**REQUEST**

The existing cap on the annual recovery of the WRAM/MCBA surcharge was raised from 10% to 15% of the authorized revenue requirement by the Commission in D.18-12-021. California American Water requests approval of the 2018 WRAM/MCBA balance and the associated tariff language but is not requesting an amortization and placement into rates at this time. California American Water requests in this advice letter to net the 2018 under-collected balance with the 2017 under-collected WRAM/MCBA balance. This request will allow the current surcharge recovery associated with the 2016 balances to remain the same but allow for a more timely recovery of the 2017 WRAM/MCBA balance. It would further reduce interest and improve inter-generational inequities.

The balances for the WRAM and MCBA are summarized in the table below.

TABLE 4: Recovery of WRAM/MCBA Balances				
DESCRIPTION	Authorized WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
<b>Residential</b>				
Estimated Balance not yet Approved for YR 2018	\$367,493	\$8,188	\$0	\$375,680
UAW Penalty/(Reward)				(\$414,509)

Residential Balance 2018				(\$38,829)
<b>Non-Residential</b>				
Estimated Balance not yet Approved for YR 2018	\$93,146	\$14,084	\$0	\$107,230
UAW Penalty/(Reward)				(\$213,410)
Non-Residential Balance 2018				(\$106,180)
<b>Monterey District</b>				
Total Estimated Balance not yet Approved for YR 2018 with UAW Penalty/(Reward)				(\$145,008)
Total Balance 2018				(\$145,008)
2018 Adopted Revenue				\$56,824,186
2018 Balance % of Revenue				0.26%
Net Balance All Years % of Revenue				0.26%

**SERVICE LIST**

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

**EFFECTIVE DATE**

California American Water submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of September 24, 2019.

**RESPONSE OR PROTEST<sup>1</sup>**

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

<sup>1</sup> G.O. 96-B, General Rule 7.4.1

<sup>2</sup> G.O. 96-B, General Rule 7.4.2

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;  
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

**Email Address:**

[Kamilah.Jones@amwater.com](mailto:Kamilah.Jones@amwater.com)

**Mailing Address:**

4701 Beloit Drive  
Sacramento, CA 95838

[sarah.leeper@amwater.com](mailto:sarah.leeper@amwater.com)

555 Montgomery Street, Ste. 916  
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam  
Vice President of Rates & Regulatory



Schedule No. MO-1-SF (Continued)  
 GENERAL METERED SERVICE in the Monterey County District Tariff Area  
SINGLE FAMILY RESIDENTIAL CUSTOMERS

Sheet 7

**SPECIAL CONDITIONS (continued):**  
**Fees and Surcharges**

9. Per Advice Letter 1230-B, the under-collected balance in the Monterey County District Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0827 per 100 gallons over 24 months effective May 1, 2019. This total amount will be recovered from all classes of customers except for Chualar Customers.
10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
11. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.47
3/4	\$2.21
1	\$3.68
1 1/2	\$7.35
2	\$11.77
3	\$22.06
4	\$36.77
6	\$73.54
8	\$117.66
10	\$169.14

12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 residential WRAM/MCBA is \$38,829, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge would be \$0.0021 per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

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(TO BE INSERTED BY UTILITY)  
 Advice 1265-A  
 Decision

ISSUED BY  
 J. T. LINAM  
 DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)  
 Date Filed 10/04/2019  
 Effective 09/24/2019  
 Resolution \_\_\_\_\_

Schedule No. MO-1-MF (Continued) Sheet 7  
 GENERAL METERED SERVICE in the Monterey County District Tariff Area  
MULTI-FAMILY RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (continued):**  
**Fees and Surcharges**

9. Per Advice Letter 1230-B, the under-collected balance in the Monterey County District Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0827 per 100 gallons over 24 months effective May 1, 2019. This total amount will be recovered from all classes of customers except for Chualar Customers.
10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
11. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$ 1.47
3/4	\$ 2.21
1	\$ 3.68
1 1/2	\$ 7.35
2	\$ 11.77
3	\$ 22.06
4	\$ 36.77
6	\$ 73.54
8	\$ 117.66
10	\$ 169.14

12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 residential WRAM/MCBA is \$38,829, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge would be \$0.0021 per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

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(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1265-A

J. T. LINAM

Date Filed 10/04/2019

Decision

DIRECTOR - Rates & Regulatory

Effective 09/24/2019

Resolution \_\_\_\_\_

Schedule No. MO-1C (Continued)  
General Metered Service in the Monterey County District Tariff Area  
NON-RESIDENTIAL CUSTOMERS

**SPECIAL CONDITIONS (continued):**  
**Fees and Surcharges**

- 10. Per Advice Letter 1230-B, the under-collected balance in the Monterey County District Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0827 per 100 gallons over 24 months effective May 1, 2019. This total amount will be recovered from all classes of customers except for Chualar Customers.
- 11. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$ 1.47
3/4	\$ 2.21
1	\$ 3.68
1 1/2	\$ 7.35
2	\$ 11.77
3	\$ 22.06
4	\$ 36.77
6	\$ 73.54
8	\$ 117.66
10	\$ 169.14

- 12. Per Advice Letter 1265-A, the net under-collected balance of the 2017 and 2018 residential WRAM/MCBA is \$107,230, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

	Quantity Rate (CGL)
Block 1	\$0.0092
Block 2	\$0.0103
Block 3	\$0.0115
Block 4	\$0.0230

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1265-A	J. T. LINAM	Date Filed <u>10/04/2019</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>09/24/2019</u>
		Resolution _____

Schedule No. MO-10 (Continued)  
 General Metered Service in the Monterey County District Tariff Area  
OTHER CUSTOMERS

Sheet 7

**SPECIAL CONDITIONS (continued):**

**Fees and Surcharges**

8. Per Advice Letter 1230-B, the under-collected balance in the Monterey County District Consolidated Expense Balancing Account will be recovered through a quantity based surcharge of \$0.0827 per 100 gallons over 24 months effective May 1, 2019. This total amount will be recovered from all classes of customers except for Chualar Customers.
9. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
10. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.47
3/4	\$2.21
1	\$3.68
1 1/2	\$7.35
2	\$11.77
3	\$22.06
4	\$36.77
6	\$73.54
8	\$117.66
10	\$169.14

11. Per Advice Letter 1265-A, the net under-collected balance of the 2017 and 2018 residential WRAM/MCBA is \$106,180, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization

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Division	Quantity Rate (CGL)
Division 1	\$0.0055
Division 2	\$0.0110
Division 3	\$0.0438

(Continued)

(TO BE INSERTED BY UTILITY) Advice      1265-A Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>10/04/2019</u> Effective <u>09/24/2019</u> Resolution
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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1265-A	J. T. LINAM	Date Filed <u>10/04/2019</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>09/24/2019</u>
		Resolution _____

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Duarte	6578-W
San Marino	6573-W, 6574-W, 6575-W, 6576-W, 8211-W
Monterey County	7053-W, 6580-W, 6581-W, 6582-W, 6583-W, 6584-W, 6585-W, 6586-W, 6587-W, 6588-W, 6589-W, 6590-W, 944-W, 945-W, 947-W, 948-W, 949-W, 950-W, 951-W, 952-W, 953-W, 954-W, 955-W, 957-W, 958-W, 959-W, 960-W, 961-W, 962-W, 963-W, 964-W, 966-W, 967-W, 968-W, 969-W, 971-W, 972-W, 973-W, 974-W, 975-W, 976-W, 977-W, 978-W, 979-W, 980-W, 981-W, 982-W, 983-W, 984-W, 7054-W

(Continued)

<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
Advice      1265-A	J. T. LINAM	Date Filed <u>10/04/2019</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>09/24/2019</u>
		Resolution      _____